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APPLICATION NUMBER	FILING OR 371 (e) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/811,484	03/26/2004	Nigel Mark Sammes	UCT-0043

CANTOR COLBURN LLP  
55 Griffin Road South  
Bloomfield, CT 06002

CONFIRMATION NO. 8879

## FORMALITIES LETTER



\*OC000000012903371\*

Date Mailed: 06/08/2004



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$126 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$576 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$126
  - \$126 for 14 total claims over 20.

10811484

061130

08/11/2004 WABDELRI 00000111

385.00 DA

65.00 DA

126.00 DA

01 FC:2001


02 FC:2051

03 FC:2202


Replies should be mailed to: Mail Stop Missing Parts  
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P.O. Box 1450  
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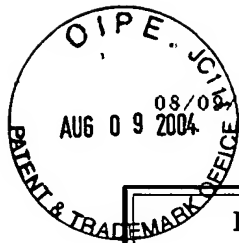
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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b> Applicant(s): Sammes, et al.			Docket No. UCT-0043
Serial No. 10/811,484	Filing Date March 26, 2004	Examiner NYA	Group Art Unit 1746
Invention: <b>METHODS OF MANUFACTURE OF ELECTROLYTE TUBES FOR SOLID OXIDE DEVICES AND THE DEVICES OBTAINED THEREFROM</b>			
<p>I hereby certify that this <u>Resp to File Miss. Parts (3 pg); Notice to File Miss. Parts (2 pg) &amp; Dec. (3 pg)</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-746-4060</u>)</p> <p>on <u>August 9, 2004</u> (Date)</p> <div style="text-align: right;"><p><u>Amanda M. Douville</u> (Typed or Printed Name of Person Signing Certificate)</p><p><u>Amanda M. Douville</u> (Signature)</p></div> <div style="text-align: center;"></div> <p>Note: Each paper must have its own certificate of mailing.</p>			



08/09/04 16:05 8602860115

CANTOR COLBURN L

002/009

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
UCT-0043

In Re Application Of: Sammes, et al.

Serial No.  
10/811,484

Filing Date  
March 26, 2004

Examiner  
NYA

Group Art Unit  
1746

Invention: **METHOD OF MANUFACTURE OF ELECTROLYTE TUBES FOR SOLID OXIDE DEVICES AND  
THE DEVICES OBTAINED THEREFROM**

Mail Stop Missing Parts

TO THE COMMISSIONER FOR PATENTS:



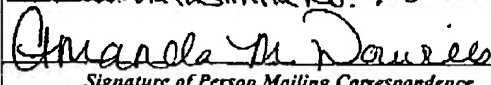
This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
June 8, 2004  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

<b>Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Small Entity)</b>			<b>Docket No. UCT-0043</b>
In Re Application Of: Sammes, et al.			
Serial No. 10/811,484	Filing Date March 26, 2004	Examiner NYA	Group Art Unit 1746
Invention: <b>METHOD OF MANUFACTURE OF ELECTROLYTE TUBES FOR SOLID OXIDE DEVICES AND THE DEVICES OBTAINED THEREFROM</b>			
<p style="text-align: center;"><u>TO THE COMMISSIONER FOR PATENTS:</u> <u>Mail Stop Missing Parts</u></p> <div style="display: flex; justify-content: space-between;"> <div style="width: 60%;"> <p><input checked="" type="checkbox"/> Completion of application fees as calculated below:</p> <p><input checked="" type="checkbox"/> Utility application filing fee</p> <p><input type="checkbox"/> Design application filing fee</p> <p><input checked="" type="checkbox"/> Total number of independent claims = <u>2</u></p> <p><input checked="" type="checkbox"/> Total number of claims = <u>34</u></p> <p><input type="checkbox"/> Multiple dependent claims</p> <p><input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath</p> <p><input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor</p> <p><input type="checkbox"/> Fee for processing an application filed with a non-English language specification</p> <p><input type="checkbox"/> Fee for processing and retention of application</p> </div> <div style="width: 35%; text-align: right;"> <p><u>\$385.00</u></p> <p><u>\$126.00</u></p> <p><u>\$65.00</u></p> <p><u>\$576.00</u></p> </div> </div> <p style="text-align: right; margin-top: 10px;">Total completion of application fees <u>\$576.00</u></p> <p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.</p> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 60%;"> <p><input type="checkbox"/> One month    <input type="checkbox"/> Two months    <input type="checkbox"/> Three months    <input type="checkbox"/> Four months    <input type="checkbox"/> Five months</p> <p>from: _____ until: _____</p> <p style="text-align: center; margin-left: 100px;"><i>Date</i> <span style="margin-left: 100px;"><i>Date</i></span></p> </div> <div style="width: 35%; text-align: right;"> <p>Total time extension fees _____</p> <p>Total fees due <u>\$576.00</u></p> </div> </div>			



<b>Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533) (Small Entity)</b>			<b>Docket No. UCT-0043</b>
In Re Application Of: Sammes, et al.			
Serial No. 10/811,484	Filing Date March 26, 2004	Examiner NYA	Group Art Unit 1746
Invention: <b>METHOD OF MANUFACTURE OF ELECTROLYTE TUBES FOR SOLID OXIDE DEVICES AND THE DEVICES OBTAINED THEREFROM</b>			
<u>TO THE COMMISSIONER FOR PATENTS:</u> <u>Mail Stop Missing Parts</u>			
			
The fee of \$576.00 is to be paid as follows: <input type="checkbox"/> A check in the amount of the fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 06-1130 <input checked="" type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 06-1130			
 <i>Signature</i>		Dated: August 9, 2004	
David E. Rodrigues Registration No. 50,604 Customer No. 23413 (860) 286-2929		<div style="font-size: small; padding: 5px;">I certify that this document and fee is being deposited on August 9, 2004 with the U.S. Postal Service as first-class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via facsimile to 703-246-4060</div> <div style="text-align: center; padding: 5px;"> <i>Signature of Person Mailing Correspondence</i></div> <div style="text-align: center; padding: 5px;">Amanda M. Douville <i>Typed or Printed Name of Person Mailing Correspondence</i></div>	
cc:			